

Explanation of Availability Control Updates

The level at which budget will be checked in IRIS will be updated in early October for many grants. The new configuration will allow more flexibility in direct cost budgeting as requested by the campus community, while retaining the ability to limit unbudgeted items. An outline of the changes is embedded in the attached spreadsheet. Highlights are summarized below:

- 1) Budget line items designated as direct (salaries, benefits, travel and supplies) will be rolled together for budget availability checking when processing transactions in IRIS. This will provide greater flexibility and limit the number budget revisions required. Items considered “indirect” will still be controlled at the level currently budgeted (secretarial/clerical salaries; phone line charges, etc.)
- 2) The total direct cost budget of the grant will be allowed to be overspent by the lesser of 10% or \$1,000 without receiving error messages upon transaction entry. Error messages will result once the grant budget has been overrun by the lesser of these two amounts.
- 3) The override of availability control checking for the payroll preliminary documents has been removed and is subject to the same 10% or \$1,000 limit denoted above. Departments with personnel being paid on grants that are overspent will need to update the info-types in HR for staff to remove these grants prior to the payroll final. A listing of grants currently overspent by more than \$500 in total will be routed through the area fiscal officers for action by the responsible departments.
- 4) Cost sharing budgets (once entered into IRIS) will be allowed to overspend by \$1,000.

Exceptions:

- 1) Federal or federal flow-thru contracts will not have the budget rolled together.
- 2) NSF grants have a special budgeting restriction requiring a specific budget for Training Participants (sponsored class: E530170; g/l account: 530170).
- 3) Training grants will have Trainee Tuition/Fees (sponsored class: E537030; g/l account: 537030 or 537031) rolled to the direct cost budget.

Federal and Federal Flow Through

Budget Rule 4

E511000	Direct Salaries & Benefits
E513000	Student Salaries & Benefits
E530011	Travel - Domestic
E530033	Travel - Foreign
E530130	Consultants
E530170	Train Participants
E530200	Sub-contract < \$25K
E530201	Sub-contract > \$25K
E530300	Direct Current Expense
E534040	Rent/Lease Land/Equip
E534041	Rent/Lease - Bldg
E536120	Patient Care & Support
E550000	Equipment
E590020	F&A Costs

AVC Checking

E510000	Direct Expenses
E530200	Sub-contract < \$25K
E530201	Sub-contract > \$25K
E534040	Rent/Lease Land/Equip
E534041	Rent/Lease - Bldg
E536120	Patient Care & Support
E550000	Equipment
E590020	F&A Costs

State and Foundations

Budget Rule 5

E510000	All Salary & Benefits
E530010	Travel - All
E530130	Consultants
E530170	Train Participants
E530200	Sub-contract < \$25K
E530201	Sub-contract > \$25K
E530300	Direct Current Expenses
E550000	Equipment
E590020	F&A costs

Revised Budget Rule 5

E510000	Direct Expenses
E530200	Sub-contract < \$25K
E530201	Sub-contract > \$25K
E550000	Equipment
E590020	F&A costs

Additional changes:

Allowed to overspend **Direct Expenses** by either **10%** of award total or **\$1,000** whichever is **lower**

Position Budget Control

Encumbrances for payroll will have errors if budget is unavailable at time of encumbrance.

Sponsor specific requirements:

NSF grants have additional requirement for a budget in training participants

Training grants are excluded from having a specific budget in Trainee Tuition/Fees

Federal and federal flow-thru contracts will **not** roll up to Direct Expenses

Cost Sharing budget rules:

Budget rule is the same as grant

Allowed to overspend by **\$1,000** overall

Training Grant

Budget Rule 4

E511000	Direct Salaries & Benefits
E513000	Student Salaries & Benefits
E530011	Travel - Domestic
E530033	Travel - Foreign
E530040	Travel - Student
E530130	Consultants
E530170	Train Participants
E530200	Sub-contract < \$25K
E530201	Sub-contract > \$25K
E530300	Direct Current Expense
E534040	Rent/Lease Land/Equip
E534041	Rent/Lease - Bldg
E536120	Patient Care & Support
E537010	Fellow/Trainee Stipend
E537030	Trainee Tuition/Fees
E550000	Equipment
E590020	F&A Costs

AVC Checking

E510000	Direct Expenses
E530200	Sub-contract < \$25K
E530201	Sub-contract > \$25K
E534040	Rent/Lease Land/Equip
E534041	Rent/Lease - Bldg
E536120	Patient Care & Support
E550000	Equipment
E590020	F&A Costs

